

A

PATENT

Attorney Docket No. 26656-0238

4/a  
Pal  
5-5

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID W. DEATON, et al.

For: CHECK TRANSACTION PROCESSING METHOD AND SYSTEM

Anticipated Classification of

this Application:

Class: \_\_\_\_\_, Subclass: \_\_\_\_\_

Prior Application:

Serial No.: 08/117,951

Filed: August 30, 1993

Examiner: Kuong Chung-Trans

Group: 2311

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. 1.10 and is addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on September 22, 1997.

*Willie Tiber*

Name

TP571666084US

Express Mail Cert. No.

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

REQUEST FOR FILING CONTINUATION APPLICATION

UNDER 37 C.F.R. § 1.60

This is a request for filing a continuation application under 37 C.F.R. § 1.60 of pending U.S. Application Serial No. 08/117,951, filed on August 30, 1993, for "Check Transaction Processing Method and System," by the following named inventors:

Name: DAVID W. DEATON  
 Address: 922 Ruswood Circle  
 Abilene, Texas 79601  
 Citizenship: U.S.A.

Name: ROBERT S. WOOD  
 Address: 902 Pardoners Road  
 Abilene, Texas 79602  
 Citizenship: U.S.A.

Enclosed is a copy of the prior application, including the Declaration and Power of Attorney, as filed in the prior application.

I hereby verify that the attached papers are a true copy of prior Application Serial No. 08/117,951 as originally filed on August 30, 1993, and further that this statement was made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

The filing fee is calculated below:

CLAIMS AS FILED IN THE PRIOR APPLICATION,  
 LESS ANY CLAIMS CANCELLED BY AMENDMENT BELOW  
 OR ADDED BY PRELIMINARY AMENDMENT

For	Number	Extra	Rate	Fee
	Filed			
Basic Fee.....				\$385.00
Total				
Claims	4	-20 = 0 x	\$11	= \$000.00
Independent				
Claims	4	-3 = 1 x	\$40	= \$ 40.00
TOTAL FILING FEE.....				\$425.00

*465.00 check  
sent in  
@-Studer*

1. Enclosed is a check in the amount of \$425.00 in payment of the filing fee. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 02-0384 of Baker & Botts, L.L.P. **A duplicate copy of this paper is enclosed.**

2. Cancel in this application original Claims 2-7, leaving Claim 1 to prosecute in this application, before calculating the filing fee.

3. Amend the Specification by inserting the following at Page 1, line 1:

--CROSS REFERENCE TO RELATED APPLICATIONS

This application is a continuation of pending U.S. Application Serial No. 08/117,951 filed August 30, 1993 by David W. Deaton and Robert S. Wood entitled "Check Transaction Processing Method and System," pending; which is a continuation of U.S. Application Serial No. 07/826,255 filed January 24, 1992 by David W. Deaton and Robert S. Wood entitled "Check Transaction Processing Method and System," abandoned; which is a continuation of U.S. Application Serial No. 07/345,475 filed May 1, 1989 by David W. Deaton and Robert S. Wood entitled "Check Transaction Processing Method and System," abandoned.

This application is related to U.S. Patent No. 5,201,010 issued April 6, 1993 entitled "Method and System for Building a Database and Performing Marketing Based upon Prior Shopping History"; U.S. Patent No. 5,237,620 issued August 17, 1993 entitled "Check Reader Method and System for Reading Check MICR Code"; U.S. Patent No. 5,305,196 issued April 19, 1994 entitled "Check Transaction Processing, Database Building and Marketing Method and System Utilizing Automatic Check Reading"; U.S. Patent No. 5,327,508 issued July 5, 1994 entitled "Method and System for Building a Database and Performing Marketing Based upon

Prior Shopping History"; U.S. Patent No. 5,388,165 issued February 7, 1995 entitled "Method and System for Building a Database and Performing Marketing Based upon Prior Shopping History"; U.S. Patent No. 5,430,644 issued July 4, 1995 entitled "Check Transaction Processing, Database Building and Marketing Method and System Utilizing Automatic Check Reading"; U.S. Patent No. 5,448,471 issued September 5, 1995 entitled "Check Transaction Processing, Database Building and Marketing Method and System Utilizing Automatic Check Reading"; U.S. Patent No. 5,592,560 issued January 7, 1997 entitled "Method and System for Building a Database and Performing Marketing Based upon Prior Shopping History"; U.S. Patent No. 5,621,812 issued April 15, 1997 entitled "Method and System for Building a Database for use with Selective Incentive Marketing in Response to Customer Shopping Histories"; U.S. Patent No. 5,638,457 issued June 10, 1997 entitled "Method and System for Building a Database for use with Selective Incentive Marketing in Response to Customer Shopping Histories"; U.S. Patent No. 5,642,485 issued June 24, 1997 entitled "Method and System for Selective Incentive Point-of-Sale Marketing in Response to Customer Shopping Histories"; U.S. Patent No. 5,644,723 issued July 1, 1997 entitled "Method and System for Selective Incentive Point-of-Sale Marketing in Response to Customer Shopping Histories"; U.S. Patent No. 5,649,114 issued July 15, 1997 entitled "Method and System for Selective Incentive Point-of-Sale Marketing in Response to Customer Shopping Histories"; U.S. Patent No. 5,659,469 issued August 19, 1997 entitled "Check Transaction Processing, Database Building and Marketing Method and System Utilizing Automatic Check Reading"; U.S. Application Serial No. 08/096,921 filed July 23, 1993 entitled "Method and System for Selective Incentive Point-of-Sale Marketing in Response to Customer Shopping Histories"; U.S. Application Serial No. 08/302,521 filed September 6, 1994 entitled "Method and System for Building a Database for use

with Selective Incentive Marketing in Response to Customer Shopping Histories"; U.S. Application Serial No. 08/457,300 filed June 1, 1995 entitled "Method and System for Selective Incentive Point-of-Sale Marketing in Response to Customer Shopping Histories"; U. S. Application Serial No. 08/701,456 filed August 22, 1996 entitled "Check Transaction Processing, Database Building and Marketing Method and System Utilizing Automatic Check Reading"; U.S. Application Serial No. 08/734,675 filed October 21, 1996 entitled "Method and System for Selective Incentive Point-of-Sale Marketing in Response to Customer Shopping Histories"; U.S. Application Serial No. 08/820,020 filed March 12, 1997 entitled "Method and System for Selective Incentive Point-of-Sale Marketing in Response to Customer Shopping Histories"; U.S. Application Serial No. 08/815,756 filed March 12, 1997 entitled "Method and System for Selective Incentive Point-of-Sale Marketing in Response to Customer Shopping Histories: U.S. Application Serial No. 08/896,840 filed July 18, 1997 entitled "Method and System for Building a Database for use with Selective Incentive Marketing in Response to Customer Shopping Histories".--

5687322

6-1

4. Informal drawings are enclosed herewith.
5. A Letter to the Office Draftsman is enclosed.
6. A Preliminary Amendment is enclosed.
7. A Verified Statement Claiming Small Entity Status is enclosed.
8. The prior application is assigned of record to CREDIT VERIFICATION CORPORATION, a Texas corporation, at reel 5123, frame 0680 in the U.S. Patent and Trademark Office records, and was recorded on July 3, 1989.



9. The Power of Attorney in the prior application is to:

Jerry W. Mills, Reg. No. 23,005

Robert M. Chiaviello, Jr., Reg. No. 32,461

The power appears in the original papers in the prior application.

10. An Appointment of Associate Attorneys is enclosed.

11. Please address all future communications to:

Jerry W. Mills, Esq.

BAKER & BOTTS, L.L.P.

2001 Ross Avenue

Dallas, Texas 75201-2980

214/953-6665

Early and favorable acceptance of this continuation application is respectfully requested.

Respectfully submitted,  
BAKER & BOTTS, L.L.P.  
Attorneys for Applicant

Christopher W. Kennerly  
Registration No. 40,675

CWK:bkd  
2001 Ross Avenue  
Dallas, Texas 75201-2916  
(214) 953-6812  
Date: September 22, 1997

#3

70110 U.S. PTO  
08/935116  
09/22/97

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID W. DEATON, et al.

For: CHECK TRANSACTION PROCESSING METHOD AND SYSTEM

Anticipated Classification of

this Application:

Class: \_\_\_\_\_, Subclass: \_\_\_\_\_

Prior Application:

Serial No.: 08/117,951

Filed: August 30, 1993

Examiner: Kuong Chung-Trans

Group: 2311

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. 1.10 and is addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on September 22, 1997.

*Willie Taler*

Name

TB571666084US

Express Mail Cert. No.

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

LETTER TO THE OFFICIAL DRAFTSMAN

Applicants respectfully submit herewith proposed formal drawing to FIGURES 1 through 12. The approval of the Official Draftsman is respectfully requested.

REMARKS

The Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker & Botts, L.L.P.

Allowance of this continuation application is respectfully requested.

Respectfully submitted,  
BAKER & BOTTS, L.L.P.  
Attorneys for Applicant



Christopher W. Kennerly  
Registration No. 40,675

CWK:bkd  
2001 Ross Avenue  
Dallas, Texas 75201-2916  
(214) 953-6812  
Date: September 22, 1997